



Access & Excellence

GRANTS AND SPONSORED PROGRAMS

Conflict of Interest/Financial Disclosure

Applicable to all Grants & Sponsored Program Proposals/Awards (grants/contracts)

Director/PI Name _____
 Department/Unit _____
 School/College _____
 Proposal Title _____
 Proposal Submitted to _____

This proposal is for Clinical Trial _____ research or non-Clinical Trial _____ research, or _____ other.

I understand that, for the purposes of this Form, "Significant Financial Interest" is defined as any interest valued at greater than \$10,000 and, irrespective of value, an equity or ownership interest of more than five percent in any single entity held in aggregate by an Investigator and the Investigator's spouse and dependent children.

I understand that, for the purpose of this Form, "Significant Financial Interest" in **Clinical Trial research** is defined as an interest regardless of financial value and, irrespective of value, an equity or ownership interest in any single entity held in aggregate by an Investigator and the Investigator's spouse and dependent children.

I am disclosing the following Significant Financial Interest. Supporting documentation is attached in an envelope marked confidential and addressed to the Grants & Sponsored Research Director. That documentation identifies the entity/entities involved and the nature and amount of the interest:

(Check one or more as applicable)

- ___ Salary or other payment for services (e.g., consulting fees or honoraria)
- ___ Equity interests (e.g., stocks, stock options, or other ownership interests)
- ___ Intellectual property rights (e.g., patents, copyrights, and royalties from those rights)
- ___ Other financial interest of the Investigator that could possibly affect or be perceived to affect the results of the research or other educational activities funded or proposed for funding such as business ownership.

I further agree:

- to update this disclosure during the period of the award on an annual basis and to file a disclosure on any new Significant Financial Interest that is obtained after submission of the proposal and during the period of the award,
- to cooperate in the development of an appropriate management plan if the Director of Grants and Sponsored Programs deems Conflict of Interest management to be necessary and,
- to comply with any conditions or restrictions imposed by the University to manage, reduce, or eliminate actual or potential Conflicts of Interest, or to forfeit the award.

Signed _____ Date _____
(original signature only - a "per" signature is not acceptable)

Reviews:

I have reviewed this Financial Interest disclosure; but not any related confidential information provided to the Grants & Sponsored Programs Office, and recommend that the proposal be submitted to the sponsor at this time with the understanding that, if an award is offered, it will be possible to take steps to manage, reduce or eliminate any actual or potential Conflict of Interest.

Dean _____ Date _____

Applicant: _____ Date: _____

GSP Directors: _____ Date: _____